



# SONAL MERCANTILE LIMITED

REGD. OFFICE: 105/33, VARDHMAN GOLDEN PLAZA, ROAD NO. 44, PITAMPURA, DELHI-110034

CIN: L51221DL1985PLC022433, web: [www.sonalmercantile.com](http://www.sonalmercantile.com)

To,

Date: 15<sup>th</sup> October, 2014

The Manager (Listing)  
Delhi Stock Exchange  
3/1, Asaf Ali Road,  
New Delhi-110002

Sub: Corporate Governance Report  
Ref: Clause 49 VI(ii) of Listing Agreement

Dear Sir,

With reference to the above captioned matter, please find enclosed the Corporate Governance Report for the quarter ended 30<sup>th</sup> September, 2014.

Kindly acknowledge the receipt of the same.

Thanking you,

For Sonal Mercantile Limited  
For Sonal Mercantile Ltd.

*Deepika Rathore*  
Company Secretary &

Deepika Rathore  
(Company Secretary &  
Compliance Officer)

M.No. A34331



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## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER CLAUSE 49 OF THE LISTING AGREEMENT

Name of the Company: Sonal Mercantile Limited

Quarter ending on: 30/09/2014

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
I Board of Directors	49 I	Yes	
(A) Composition of Board	49(IA)	Yes	
(B) Non-executive Directors' Compensation & Disclosures	49 (IB)	Yes	
(C) Other Provisions as to Board and Committees	49 (IC)	Yes	
D) Code of Conduct	(49 (ID)	Yes	
II. Audit Committee	49 (II)	Yes	
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	
(B) Meeting of Audit Committee	49 (IIB)	Yes	
(C) Powers of Audit Committee	49 (IIC)	Yes	
(D) Role of Audit Committee	49 II(D)	Yes	
(E) Review of Information by Audit Committee	49 (IIE)	Yes	
III. Subsidiary Companies	49 (III)	N.A.	
IV. Disclosures	49 (IV)		
(A) Basis of Related Party Transactions	49 (IV A)	N.A.	
(B) Disclosure of Accounting Treatment	49 (IV B)	Yes	
(C) Board Disclosures	49 (IV C)	Yes	
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49 (IV D)	N.A.	
(E) Remuneration of Directors	49 (IV E)	Yes	
(F) Management	49 (IV F)	Yes	
(G) Shareholders	49 (IV G)	Yes	
V. CEO/CFO Certification	49 (V)	Yes	
VI. Report on Corporate Governance	49 (VI)	Yes	
VII. Compliance	49 (VII)	Yes	

For Sonal Mercantile Limited.

*Deepika Rathore*  
Company Secretary &  
Compliance Officer  
(Company Secretary &  
Compliance Officer)  
M.No. A34331